



INDEPENDENT DIRECTOR CANDIDATE QUESTIONNAIRE

We request that you complete this questionnaire in connection with your interest in an independent directorship on the board of directors of the Federal Home Loan Bank of Atlanta (the "Bank"). These questions relate to (i) items that the Bank may be required to disclose about its directors in its annual report on Form 10-K, and (ii) relationships that could affect your ability to meet certain independence requirements.

If your answer is "yes" to any of the following questions, it is important you explain the details either below your response or on a separate sheet attached to this questionnaire.

Certain terms used in the following questions, which appear in quotation marks, have technical meanings. They are defined within the question or in the appendix. It is important that you read the definitions before answering the questions so that your answers are correct and complete.

Personal Information:

1. Please provide your full name: _____
2. Please provide a brief description of the particular experience, qualifications, attributes or skills (including, for non-public interest independent director candidates, in the areas of auditing and accounting, derivatives, financial management, organizational management, project development, risk management practices, and the law; and for public interest independent director candidates, experience representing consumer or community interests in banking services, credit needs, housing or consumer financial protection) that you believe qualify you to serve as a director of the Bank.

Please describe any other experience or skills relevant to your ability to serve as a director not otherwise included above or in your FHLBank Independent Director Application Form.

3. At any time during the last ten years:

(a) Was a petition under the federal bankruptcy laws or any state insolvency law filed by or against, or was a receiver, fiscal agent or similar officer appointed by a court for the business or property of:

- You
- Any partnership in which you were a general partner at or within two years before the time of such filing
- Any corporation or business association of which you were an “Executive Officer” at or within two years before the time of such filing.

Yes _____ No _____

(b) Have you been convicted in any criminal proceeding, or are you the named subject of any criminal proceeding which is presently pending (excluding traffic violations)?

Yes _____ No _____

(c) Have you been the subject of any court order, judgment or decree, which was not subsequently reversed, suspended, or vacated, and which permanently or temporarily enjoined you from, or otherwise limited, any of the following activities:

- Acting as a futures commission merchant, introducing broker, commodity trading advisor, commodity pool operator, floor broker, leverage transaction merchant, any other person regulated by the Commodity Futures Trading Commission, or an associated person of any of the foregoing, or as an investment adviser, underwriter, broker or dealer in securities, or as an affiliated person, director or employee of any investment company, bank, savings and loan association or insurance company, or engaging in or continuing any conduct or practice in connection with such activity?

Yes _____ No _____

- Engaging in any type of business practice?

Yes _____ No _____

- Engaging in any activity in connection with the purchase or sale of any security or commodity, or in connection with any violation of federal or state securities laws or federal commodities laws?

Yes _____ No _____

(d) Has any federal or state authority barred, suspended or otherwise limited for more than 60 days your right to engage in any of the activities described in the first bullet point of question 3(c) above or your right to be associated with persons engaged in those activities where such order, judgment or decree has not been subsequently reversed, suspended or vacated?

Yes _____ No _____

(e) Has a court or the SEC found that you violated any federal or state securities law where such judgment or finding has not subsequently been reversed, suspended or vacated?

Yes _____ No _____

(f) Has a court or the Commodity Futures Trading Commission found that you violated any federal commodities law where such judgment or finding has not subsequently been reversed, suspended or vacated?

Yes _____ No _____

(g) Have you been the subject of, or a party to, any federal or state judicial or administrative order, judgment, decree, or finding, not subsequently reversed, suspended or vacated, relating to an alleged violation of:

- Any federal or state securities or commodities law or regulation?

Yes _____ No _____

- Any law or regulation respecting financial institutions or insurance companies including, but not limited to, a temporary or permanent injunction, order of disgorgement or restitution, civil money penalty or temporary or permanent cease-and-desist order, or removal or prohibition order?

Yes _____ No _____

- Any law or regulation prohibiting mail or wire fraud or fraud in connection with any business entity?

Yes _____ No _____

(h) Have you been the subject of, or a party to, any sanction or order, not subsequently reversed, suspended or vacated, of any self-regulatory organization (as defined below), any registered entity (as defined below), or any equivalent exchange, association, entity or organization that has disciplinary authority over its members or persons associated with a member?

- “Self-regulatory organization” means any national securities exchange, registered securities association, or registered clearing agency.

- “Registered entity” means (a) a board of trade designated as a contract market; (b) a derivatives clearing organization; (c) a swap execution facility; (d) a swap data repository; or (e) an electronic trading facility; in each case, registered or designated as such under the Commodity Exchange Act or by the Commodity Futures Trading Commission.

Yes _____ No _____

If you answered “yes” to any part of question 3, please provide details.

4. Are you or any “associate” of yours a party adverse to the Bank in any legal proceeding, or do you or any “associate” of yours have an interest adverse to the Bank in any legal proceeding? For purpose of this question, “associate” means:

- Any corporation or organization (other than the Bank) of which you are an officer or partner or of which you are, directly or indirectly, the “beneficial” owner of 10% or more of any class of equity securities
- Any trust or other estate in which you have a substantial “beneficial” interest or as to which you serve as trustee or in a similar fiduciary capacity
- Any relative or spouse of yours, or any relative of your spouse, who resides with you, or who is a director or officer of the Bank.

Yes _____ No _____

If “yes,” please describe each such matter or proceeding. _____

5. Are you seeking to serve as an independent director of the Bank pursuant to any arrangement or understanding between yourself and any other person?

Yes _____ No _____

If “yes,” describe the arrangement or understanding and give the name(s) of the other person or persons.

Relationships with the Bank:

6. Do you have any family relationship (by blood, marriage or adoption) not more remote than first cousin, with any other current director or executive officer of the Bank? See list below.

Yes _____ No _____

If “yes,” please provide each person’s identity and the nature of the family relationship.

The Bank’s directors and executive officers as of June 1, 2020 are listed below. Please note that the SEC definition of “executive officer,” applicable for this question, is broader than the Bank’s executive vice president title.

<u>Directors</u>	<u>Executive Officers</u>
Brian E. Argrett	Joel Badger
Travis Cosby, III	Scott M. Brennan
Suzanne S. DeFerie	Julia S. Brown
R. Thornwell Dunlap, III	Alp E. Can
F. Gary Garczynski	Sharon B. Cook
William C. Handorf	M. Bryan Delong
Scott C. Harvard	Arthur L. Fleming
Jonathan Kislak	Melissa V. Hoggatt
LaSalle D. Leffall, III	Annette Hunter
Kim C. Liddell	Haig H. Kazazian, III
Garrett S. Richter	Robert S. Kovach
John B. Rucker	Kirk R. Malmberg
Robert L. Strickland, Jr.	W. Wesley McMullan
Richard A. Whaley	Eric M. Mondres
	Reginald T. O’Shields
	Leslie H. Schreiner
	William T. Shaw

7. State any previous period during which you have been a director of the Bank.

None: _____ Prior terms: _____

8. Within the last **five** years, have you served as an employee, officer, consultant, advisor, promoter, underwriter, or legal counsel of or to the Bank?

Yes _____ No _____

9. Within the last **five** years, have you or your spouse served as an employee, officer, or director of (a) any member of the Bank or (b) any recipient of advances or other extensions of credit from the Bank, including bond, repo, or letter of credit transactions?

For purposes of this question, “member” and “recipient” include the institution associated with you or your spouse and any subsidiary of the institution.

Yes _____ No _____

10. Within the last **five** years, has any of your Immediate Family Members served as an Executive Officer of the Bank?

Yes _____ No _____

11. Are you currently an Executive Officer, director, general partner or managing member of any 10% capital stockholder of the Bank? As of March 31, 2020, the only holders of 10% or more of the Bank’s capital stock were (1) Truist Bank, (2) Navy Federal Credit Union and (3) Bank of America, N.A., so this question is limited to Trust Bank; Navy Federal Credit Union; Bank of America, N.A. and its parent, Bank of America Corporation.

Yes _____ No _____

12. At any time in the last **three** years, have you or any of your Immediate Family Members, directly or indirectly (indirectly includes as a partner, shareholder, Executive Officer or employee of an organization or company):

- (a) Received compensation for services performed for the Bank from any source other than the Bank?

Yes _____ No _____

- (b) Received compensation or fees from the Bank other than compensation for service on the Bank’s board of directors and its committees?

Yes _____ No _____

- (c) Had any relationship or transaction, or proposed relationship or proposed transaction, with the Bank or its management, including, without limitation, commercial, industrial, banking, consulting, legal, accounting, investment banking, financial advisory, charitable, or familial relationships?

Yes _____ No _____

(d) If you answered “yes” to questions 12(b) or 12(c), did or does the relationship or transaction involve payments to or from the Bank in an amount excess of \$120,000 (separately or in the aggregate during a 12 month period)?

Yes _____ No _____

If you answered “yes” to any portion of question 12, please describe the direct or indirect relationship, the nature and amount of any compensation or payments made or to be made, the date(s) such compensation or payments were made or are to be made, and any other information regarding the transaction or related person in the context of the transaction that might be material to investors.

13. Are you an employee, or is an Immediate Family Member an Executive Officer of, another company that, in any of the past three fiscal years, has made payments to, or received payments from, the Bank for property or services in an amount which exceeds or exceeded the greater of \$1 million, or 2% of the other company’s consolidated gross revenues?

Yes _____ No _____

14. In the last three years, have you or any Immediate Family Member been an Executive Officer of another entity on whose compensation committee (or other committee or the full board of directors performing equivalent functions) an Executive Officer of the Bank served at the same time?

Yes _____ No _____

If “yes”, please state the name of the entity and provide details. _____

Relationships with Outside Auditor:

15. Are you currently, or have you ever been, a partner, principal, shareholder or professional employee of PwC?

Yes ___ No___

If you answered **yes** to question 15, proceed to question 16.

If you answered **no** to question 15, skip question 16 and proceed directly to question 17.

16. (a) While employed at PwC, were you the lead or concurring partner, or did you provide more than ten hours of audit, review, or attestation services for the Bank during the period of time since March 9, 2018? This includes consulting with others on the Audit Engagement Team during the audit, review or attestation engagement regarding technical or industry-specific issues, transactions or events.

Yes ___ No___

(b) Do you currently:

- (i) influence PwC's operations or financial policies;
- (ii) have any capital balances in PwC; or
- (iii) have any financial arrangement with PwC, other than an arrangement that provides for regular payment of a fixed dollar amount (which is not dependent on PwC's revenues, profits or earnings) pursuant to a fully funded retirement plan or rabbi trust or that is immaterial to you?

Yes _____ No _____

If "yes", please explain: _____

17. Is any Immediate Family Member currently, or has any Immediate Family Member ever been within the last **three** years, a partner, principal, shareholder, or employee of PwC?

Yes ___ No___

If "yes", while employed at PwC was or is your Immediate Family Member any of the following (a "Covered Person"):

- (a) Part of the Audit Engagement Team.
- (b) Part of the "chain of command," which means all persons who:
 - i. Supervise or have direct management responsibility for the Bank's audit, including at all successively senior levels through PwC's chief executive;

- ii. Evaluate the performance or recommend the compensation of the audit engagement partner; or
 - iii. Provide quality control or other oversight of the audit.
- (c) Any other partner, principal, shareholder, or managerial employee of PwC who has provided ten or more hours of non-audit services to the Bank for the period beginning on the date such services are provided and ending on the date the external auditor signs the report on the financial statements for the fiscal year during which those services are provided, or who expects to provide ten or more hours of non-audit services to the Bank on a recurring basis.
- (d) Any other partner, principal, or shareholder from PwC's Atlanta office.

Yes _____ No _____

If "yes", please describe, including all relevant dates. _____

18. Have you had, at any time since March 8, 2019, a direct or material indirect business relationship with PwC or a Covered Person at PwC?

Yes _____ No _____

If "yes", please describe: _____

Board Service

19. Each director serving on the board of directors is compensated by the Bank for such service in an amount determined annually by the board of directors. Does your institution permit compensation outside of your role as an employee, officer or director of your institution?

Yes _____ No _____

Set forth below is our anticipated 2021 board meeting schedule. Please check the sentence that applies to you and provide the additional information requested below:

_____ I do not have any conflicts with the board and committee meetings listed in the 2021 board meeting schedule.

_____ I have the following conflicts with the board and committee meetings listed in the 2021 board meeting schedule: _____

2021 BOARD MEETING SCHEDULE

DATE	MEETING	LOCATION
January 27	New Director Orientation	In-Person
January 28-29	Board of Directors and Committee Meetings	
March 4	Joint Board of Directors and Audit Committee Meeting	Telephonic
April 28-29	Board of Directors and Committee Meetings	In-Person
May 7	Audit Committee	Telephonic
May 26-27	Board of Directors and Committee Meetings	In-Person
July 28-29	Board of Directors and Committee Meetings	In-Person
August 6	Audit Committee	Telephonic
September 17-19	Board of Directors	In-Person
October 27-28	Board of Directors and Committee Meetings	In-Person
November 5	Audit Committee	Telephonic
December 9-12	Board of Directors and Committee Meetings	In-Person

Voluntary Self-Identification

Completion of the information below is voluntary and is not a requirement for board service. Aggregate demographic data may be provided to the Federal Housing Finance Agency in connection with the Bank's office of minority and women inclusion reporting.

Gender: ___ Male ___ Female **Disabled:** ___ Yes ___ No
Veteran: ___ Yes ___ No

Race/Ethnicity (Please refer to the definitions below):

- | | |
|---|---|
| <input type="checkbox"/> Hispanic or Latino | <input type="checkbox"/> White (Not Hispanic or Latino) |
| <input type="checkbox"/> Black or African American (Not Hispanic or Latino) | <input type="checkbox"/> Asian (Not Hispanic or Latino) |
| <input type="checkbox"/> Native Hawaiian or other Pacific Islander (Not Hispanic or Latino) | <input type="checkbox"/> Two or More Races (Not Hispanic or Latino) |
| <input type="checkbox"/> American Indian or Alaska Native (Not Hispanic or Latino) | |

Definitions of Race/Ethnicity:

Hispanic or Latino - A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin regardless of race.

White (Non Hispanic or Latino) – All persons having origins in any of the original peoples of Europe, North Africa or the Middle East.

Black or African American (Non Hispanic or Latino) - A person having origins in any of the black racial groups of Africa.

Asian (Non Hispanic or Latino) - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Native Hawaiian or Other Pacific Islander (Non Hispanic or Latino) - A person having origins in any of the peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

American Indian or Alaska Native (Non Hispanic or Latino) - A person having origins in any of the original peoples of North and South America (including Central America), and who maintain tribal affiliation or community attachment.

Two or More Races (Non Hispanic or Latino) - Persons who identify with two or more racial categories named above.

Definitions

“Audit Engagement Team” means all partners, principals, shareholders and professional employees participating in an audit, review, or attestation engagement of the Bank, including audit partners and all persons who consult with others on the Audit Engagement Team during the audit, review, or attestation engagement regarding technical or industry-specific issues, transactions, or events.

“Immediate Family Member” includes your spouse or spousal equivalent, parents, children, siblings, any step- or in-law equivalents of the foregoing relationships, and anyone else (other than a tenant or domestic employee) who shares your home.

“Beneficial” or “beneficially,” when used in connection with the ownership of a security, includes all securities, whether held of record in your name or in the name of any other person or group of persons, if, directly or indirectly, through any contract, arrangement, understanding, relationship, or otherwise, you have or share:

- Voting power, which includes the power to vote, or to direct the voting of, such security
- Investment power, which includes the power to dispose, or direct the disposition, of such security.

You are also deemed to be the “beneficial” owner of a security you have the right to acquire beneficial ownership of such security within 60 days:

- Through exercise of any option, warrant or right
- Through conversion of a security
- Pursuant to the power to revoke a trust, discretionary account or similar arrangement
- Pursuant to the automatic termination of a trust, discretionary account or similar arrangement.

More than one person may be the “beneficial” owner of a security.

“Executive Officer” means the chief executive officer, chief financial officer, chief operations officer, president, any executive vice president, any senior vice president, and any individual with similar responsibilities, without regard to title, who is in charge of a principal business unit, division or function, any other officer who performs a policy making function or any other person who performs similar policy making functions, or who reports directly to the chairperson, vice chairperson, chief operating officer, or chief executive officer or president.

* * * * *

[Signature page follows]

[Signature page to Independent Director Candidate Questionnaire]

The undersigned hereby furnishes the foregoing information for use by the Bank in connection with its consideration of the undersigned for an independent directorship position on the Bank's board. The undersigned agrees to immediately notify the Bank if the answer provided to any of the foregoing questions is not accurate at any time after the date of this questionnaire.

Signed By: _____

Name: _____

Date: _____